

*Acta  
conta*

47. (New) The system of claim 44, wherein the system is further configured to allow implementing the system in an electronic trading platform.

REMARKS

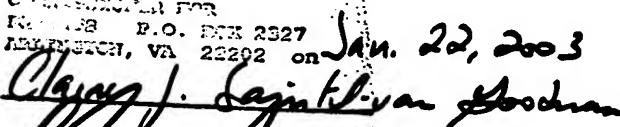
Applicant has amended claims 12-17 in order to replace the term "system," with "method" to correct the dependency of claims 12-17. Claims 30-47 have been added in order to more fully claim an embodiment of applicant's invention. These remarks are followed by an appendix including a marked-up version of the amended claims to show the changes made. Applicant respectfully submits that this application is now in condition for allowance. Prompt reconsideration and allowance are respectfully requested.

Respectfully submitted,



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I hereby certify that this  
complaint is being  
submitted with the U.S.  
Patent Office as First  
Claimant in an Application  
for a Patent to:  
C. L. Scherzer for  
Inventor P.O. Box 2327  
ALEXANDRIA, VA 22302 on Jan. 22, 2003

  
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Signature of Person Signing

APPENDIX  
(To Show Changes Made)

12. (Amended) The [system] method of claim 1,  
further comprising monitoring a rate of return of the dynamic  
security.

13. (Amended) The [system] method of claim 12,  
further comprising comparing the rate of return to a pre-  
determined target rate of return.

14. (Amended) The [system] method of claim 13,  
further comprising providing a notification when the rate of  
return deviates at a pre-determined ratio from the target  
rate of return.

15. (Amended) The [system] method of claim 1,  
further comprising monitoring a rate of return of each of the  
selected plurality of securities.

16. (Amended) The [system] method of claim 15,  
further comprising comparing the rate of return of each of  
the selected securities to a pre-determined target rate of  
return.

17. (Amended) The [system] method of claim 16,  
further comprising providing a notification when the value of  
a selected one of each of the selected plurality of  
securities deviates at a pre-determined ratio from the target  
rate of return.